

## SID Listed Company Director (LCD) Programme LCD Module 3: Risk Management Essentials

Co-organised by:	Singapore Institute of Directors and PwC
Designed for:	Aspiring and current directors, C-suite and senior management of listed companies
Date:	<b>Wednesday, 12 July 2017 (inclusive of lunch)</b>
Venue:	<b>Marina Mandarin Singapore</b>
Time:	<b>12.30 pm to 5.30 pm (CPD: 4 hours)</b>
SID Member:	S\$420.00 (Inclusive of GST)
Non-SID Member:	S\$550.00 (Inclusive of GST)

Boards and Board Committees play a key role in considering and addressing specific risks facing an organisation and also in monitoring the adequacy and effectiveness of systematic risk management and internal controls systems that go beyond the consideration of a company's financial risks. The Singapore Exchange Listing Rule 1207 (10) and the Singapore Code of Corporate Governance (2012) set out the key requirements for listed companies to consider when establishing a risk management and internal control system. The SID Board Risk Committee Guidebook (2016) provides further guidance for boards and companies to consider in this regard.

This module delves into the concept of Risk Governance, and looks into factors which the Board should collectively consider when overseeing the company's risk management framework and policies. It will also cover the Board's and Management's respective responsibilities in managing the company's risks.

Mr Irving Low, Head of Risk Consulting, KPMG in Singapore, and Edouard Bertin-Mourot, Director Risk Consulting, KPMG in Singapore, will cover the salient issues surrounding Risk Governance and discuss matters that directors have to deal with as part of their risk governance responsibilities.

### Programme

12.30 pm	Registration/Networking Lunch
1.30 pm	The Changing Risk Landscape and The Role of the Board
2.00 pm	Risk Governance Structures, Roles and Responsibilities
2.30 pm	What Constitutes a Sound System of Risk Management and Internal Controls (Part 1)
3.00 pm	Break
3.30 pm	What Constitutes a Sound System of Risk Management and Internal Controls (Part 2)
4.30 pm	Establishing a Board Assurance Framework to Review Adequacy and Effectiveness
5.00 pm	Key Disclosures relating to Risk Management and Internal Controls
5.30 pm	End of Programme

## About the Speakers

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### **Yong Jiunn Siong**

**Partner**

**PricewaterhouseCoopers LLP (PWC)**

Jiunn Siong is a Partner with the Risk Assurance practice of PwC Singapore which comprises over 250 dedicated professionals specialising in Sarbanes-Oxley (SOX) advisory services and attestations, reviews of internal controls, performing outsourced Internal Audits, enterprise risk management and information technology audits. He spent his years as an internal and external auditor of multi-national companies, financial services (banks and fund managers) clients as well as Singapore public listed companies across various industries.

Jiunn Siong is also a qualified PwC Global Instructor on SOX (internal control over financial reporting) and is PwC Singapore's subject matter expert on SOX. He has conducted numerous public SOX and Internal Control workshops over the years and also across the Asian region for clients and also other PwC offices. He also speaks on the topic of Corporate Governance and Internal Controls together with the Singapore Institute of Directors and Singapore Exchange to Audit Committee Members and Directors for the past eight years.

### **David Toh**

**Partner**

**PricewaterhouseCoopers LLP (PWC)**

**David Toh** is a Partner with the Risk Assurance practice in PricewaterhouseCoopers LLP Singapore ("PwC"). The practice has 150 full-time staff dedicated to the provision of Internal Audit, Corporate Governance, Risk Management, Systems and Process Assurance Services to organisations from the private and public sectors operating in Singapore and the region.

David is also a key member of PwC's Entrepreneurial and Private Client practice which is a group of trusted business advisors dedicated to providing integrated business solutions to entrepreneurs, owner-managed and family businesses.

With over 13 years of experience in the auditing profession, he has led a wide range of projects including Internal Audit Review, Business Process Review, Sarbanes Oxley 404 Compliance Review, Control Self-Assessment Implementation, Policies and Procedures Development, Enterprise Risk Management Framework Review, Fraud Risk assessment, Fraud Investigation and Corporate Governance Review.

David holds a Bachelor of Accountancy (Second Class (Upper) Honours) Degree from Nanyang Technological University.

## **Registration**

Please register online at [www.sid.org.sg](http://www.sid.org.sg) by **5 July 2017**.

Should you require assistance, contact us at:

The Secretariat

Tel: 6422 1188

Fax: 6422 1199

## **TERMS AND CONDITIONS FOR SID COURSES/EVENTS**

SID's courses and events are subject to terms and conditions as set out below. The Institute reserves the right to changes these terms and conditions without notice.

### **COURSE/EVENT REGISTRATION**

A participant is successfully enrolled for the course/event once an invoice is generated.

### **NO CANCELLATION**

There will be strictly no cancellation once the participant is successfully enrolled for the course/event.

### **NO-SHOW**

There will be strictly no refund of fees for no-show.

### **REQUEST FOR REPLACEMENT OR DEFERMENT**

Where a participant cannot attend a course, he/she may request to send a replacement or defer the course subject to the following conditions:

1. Replacement of a participant is subject to at least 5 working days prior notice in writing. In the case whereby a SID member participant is replaced by a non-member, a top-up fee will be levied. No refund will be made if a non-member participant is replaced by a member.
2. Deferment of a course to the next scheduled date (for same course) is subject to at least 10 working days prior notice in writing. Deferment is only allowed for half day and full day courses run by SID. An administration fee for each deferment of S\$50 (for half day course) and S\$100 (for full day course) shall apply.

### **CANCELLATION OF COURSE/EVENT BY SID**

SID will exercise all possible care to run the confirmed courses. However, in the event of unforeseen circumstances or low enrolment, SID reserves the right to cancel or defer the course/event, and/or to change venue, speaker, course date and fees. SID will make all reasonable efforts to notify participants of changes, should they happen.

If SID cancels a course, a full refund will be made to the participants.

### **CPD HOURS**

CPD hours/attendance letter is subject to the participant arriving no later than 30 minutes after the programme starts or leaving no more than 30 minutes before the programme ends, and submitting the module Assessment Form only at the end of the event.

### **CAR PARK COUPONS**

Complimentary car park coupons, where available, are given on a first-come-first-served basis.

## **PAYMENT POLICY AND OPTIONS**

Course/Event fees are due upon registration, and payment should reach SID at least 7 working days before the course date. SID reserves the right to refuse admission if payment is not received in full before a course/event.

1. Online Payment

Log in to your account at [www.sid.org.sg](http://www.sid.org.sg)

Select invoice to pay at “My Open Invoices”.

2. Cheque Payment

Payable to: Singapore Institute of Directors (please quote invoice number behind the cheque)

Mail to: 168 Robinson Road, #09-06, Capital Tower, Singapore 068912

Attention to: Finance Department

3. Telegraphic Transfer

Bank Name: DBS Bank Limited

Branch: Raffles Place Branch

Bank Code: 7171

Beneficiary Name: Singapore Institute of Directors Account Number: 048-016620-2

Swift Code: dbsssgsg

Reference: Please quote invoice number

(Note: All bank charges are to be borne by the applicant)